

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : Kwok Kuen TONG  
SERIAL NO.: UNASSIGNED  
FILED : AUGUST 1, 2003  
FOR : HAIR CURLING IRON AND SHAPING TOOL

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Application, P.O. Box 1450, Alexandria, VA 22313-1450 on  
AUGUST 1, 2003.

Richard M. Goldberg  
(Name of Registered Representative  
and person mailing)

Richard M. Goldberg August 1,  
2003  
(Signature and Date)

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The Applicant and those individuals involved in the  
preparation and/or prosecution of the above-identified  
Application have become aware of the following references which  
the Examiner may consider material to the patentability of the  
above-identified Application:

<u>U.S. PATENT APPLICATION</u> <u>PUBLICATION NO.</u>	<u>APPLICANT</u>	<u>DATE</u>
2003/0071027 A1	Lo	04-17-2003

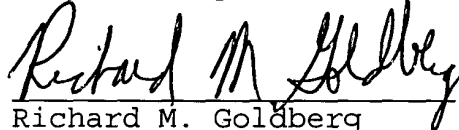
<u>U.S. PATENT NO.</u>	<u>PATENTEE</u>	<u>DATE</u>
5,957,140	McGee	09-28-1999
5,223,694	Tsuji et al	06-29-1993
4,739,151	Smal	04-19-1988
4,479,047	Khaja et al	10-23-1984
3,516,420	Porter	06-23-1970
1,909,894	Protzky	05-16-1933
1,014,952	Cox	01-16-1912

Copies of the above references are enclosed.

In addition, one PTO-1449 Form is enclosed, which lists the above references. It is requested that the Examiner initial this Form and return a copy thereof to the undersigned.

It is requested that the above-identified references be made of record in the present Application.

Respectfully submitted,



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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 1

**Complete if Known**

Application Number	
Filing Date	August 1, 2003
First Named Inventor	Kwok Kuen TONG
Art Unit	
Examiner Name	
Attorney Docket Number	477/1/003

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 5,957,140	09-28-1999	McGee	
		US- 5,223,694	06-29-1993	Tsuji et al	
		US- 2003/0071027 A1	04-17-2003	Lo	
		US- 4,739,151	04-19-1988	Smal	
		US- 4,479,047	10-23-1984	Khaja et al	
		US- 3,516,420	06-23-1970	Porter	
		US- 1,909,894	05-16-1933	Protzky	
		US- 1,014,952	01-16-1912	Cox	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.